

# Segal-type models of higher categories

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## Two motivating examples

Two **prototype examples** in dimension 2:

- a) The 2-dimensional structure with
  - Objects* = categories
  - 1-morphisms* = functors
  - 2-morphisms* = natural transformations.
  
- b) The 2-dimensional structure with
  - Objects* = points of a space  $X$
  - 1-morphisms* = paths in  $X$
  - 2-morphisms* = 2-tracks (equivalence classes of homotopies between paths).

## Two motivating examples, cont.

- Objects are also called **0-cells** and  $k$ -morphisms are called  **$k$ -cells**.
- In both examples, we can use the **pictorial representation**

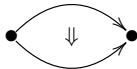
*Objects*



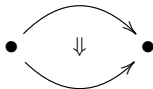
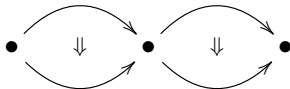
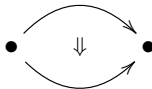
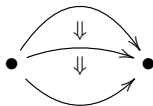
*1-morphisms*



*2-morphisms*



*Vertical and horizontal compositions*



## Two motivating examples, cont.

Main difference between examples a) and b):

- a) All compositions are associative and unital. This is a **strict 2-category**.
- b) Composition of paths is associative and unital only up to homotopy; given paths

$$\bullet \xrightarrow{f} \bullet \xrightarrow{g} \bullet \xrightarrow{h} \bullet$$

$a \qquad b \qquad c \qquad d$

there is a homotopy

$$\begin{array}{ccc} & \xrightarrow{(h \circ g) \circ f} & \\ a \bullet & \begin{array}{c} \curvearrowright \\ \cong \\ \curvearrowleft \end{array} & \bullet d \\ & \xrightarrow{h \circ (g \circ f)} & \end{array}$$

The structure we obtain is a **weak 2-category**.

## Strict $n$ -categories

**Idea of strict  $n$ -category:** in a strict  $n$ -category there are cells in dimension  $0, \dots, n$ , identity cells and compositions which are associative and unital. Each  $k$ -cell has source and target which are  $(k - 1)$ -cells,  $1 \leq k \leq n$ .

**Strict  $n$ -categories** are defined by iterated enrichment:

$$1\text{-Cat} = \text{Cat}, \quad n\text{-Cat} = ((n - 1)\text{-Cat})\text{-Cat}$$

When all cells have inverses, we obtain a **strict  $n$ -groupoid**

## Strict $n$ -groupoids and $n$ -types

- An  $n$ -type is a topological space whose homotopy groups vanish in dimension higher than  $n$ .
- $n$ -types are the building blocks of spaces via the **Postnikov decomposition**.
- **Fact:** Strict  $n$ -groupoids do not model  $n$ -types when  $n > 2$ .

This was one of the motivations for the development of **weak  $n$ -categories**: in the weak  $n$ -groupoid case it gives an algebraic model of  $n$ -types (**homotopy hypothesis**).

## Weak $n$ -categories

**Idea of weak  $n$ -category:** in a weak  $n$ -category there are cells in dimension  $0, \dots, n$ , identity cells and compositions which are associative and unital up to an invertible cell in the next dimension, in a coherent way.

- In dimensions  $n = 2, 3$  it is possible to give an explicit definition of the axioms with the notions of **bicategory** and **tricategory**.
- For general  $n$  there are **several different models** of weak  $n$ -categories and weak  $n$ -groupoids.

# Internal categories and internal groupoids

## Definition

- An *internal category* in a category  $\mathcal{C}$  with pullbacks consists of a diagram in  $\mathcal{C}$

$$\begin{array}{ccccc} & & & \xrightarrow{d_0} & \\ & & & \xrightarrow{d_1} & \mathcal{C}_0 \\ \mathcal{C}_1 \times_{\mathcal{C}_0} \mathcal{C}_1 & \xrightarrow{c} & \mathcal{C}_1 & & \\ & & \xleftarrow{s} & & \end{array}$$

where these maps satisfies the axiom of a category.

- An *internal groupoid* in  $\mathcal{C}$  is an internal category with all morphisms invertible.
- Denote by  $\text{Cat } \mathcal{C}$  the category of internal categories and internal functors.



## Definition

$n$ -fold categories are defined inductively as

$$\text{Cat}^1 = \text{Cat}$$

$$\text{Cat}^n = \text{Cat}(\text{Cat}^{n-1})$$

## Example: double categories

- Let  $X \in \text{Cat}(\text{Cat})$

$X_0 \in \text{Cat}$  has

objects  $\bullet$

morphisms  $\downarrow$



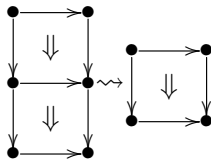
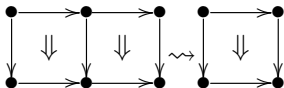
$X_1 \in \text{Cat}$  has

objects  $\bullet \longrightarrow \bullet$

morphisms  $\begin{array}{ccc} \bullet & \longrightarrow & \bullet \\ \downarrow & & \downarrow \\ \bullet & \longrightarrow & \bullet \end{array}$



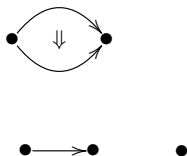
Thus squares can be composed horizontally and vertically



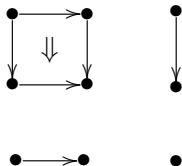
All compositions are associative and unital.

# Strict 2-categories versus double categories

Strict 2-category



Double category



- Note: the picture on the right becomes the one on the left when all vertical morphisms are identities.

# Strict $n$ -categories versus $n$ -fold categories

- There is an **embedding**

$$n\text{-Cat} \hookrightarrow \text{Cat}^n.$$

A strict  $n$ -category  $X \in n\text{-Cat}$  is a  $n$ -fold category in which certain substructures are discrete (that is just sets).

- This discreteness condition is called the **globularity condition**.
- The sets underlying these discrete substructures are the **sets of cells** in the strict  $n$ -category.

## A motivating question

The category  $n\text{-Cat}$  is too small to model weak  $n$ -category while  $\text{Cat}^n$  is too large. Is there an intermediate category

$$n\text{-Cat} \hookrightarrow ? \hookrightarrow \text{Cat}^n$$

which is a model of weak  $n$ -categories?

The answer is provided by the category  $\text{Cat}_{\text{wg}}^n$  of weakly globular  $n$ -fold categories.

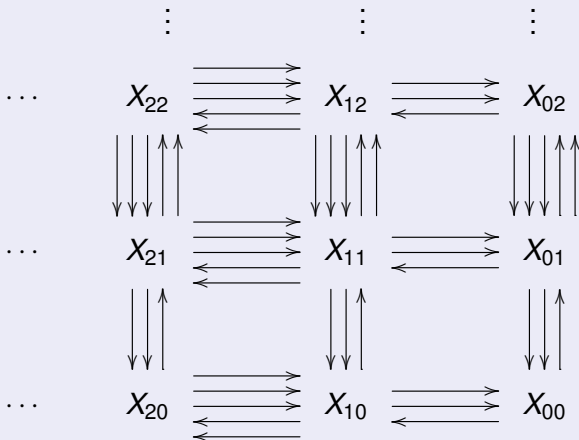
## Some historical development

- A pioneering work on the use of  $n$ -fold structures in connection with homotopy theory is Loday's **Cat<sup>n</sup>-groups** as a model of path-connected  $(n + 1)$ -types.
- This was also investigated by Bullejos-Cegarra-Duskin with a different approach, and led Brown to a proof a higher order **Van-Kampen theorem** with interesting **computational applications**.
- A combinatorially different model was also given by Porter and by Ellis-Steiner in terms of **crossed  $n$ -cubes**.
- The **notion of weak globularity** first arose in a special case in relating Loday's model to the Tamsamani-Simpson model in the path-connected case ([P., Adv. Math. 2009]).

## Simplicial combinatorics.

- Let  $\Delta$  be the **simplicial category**. Its objects are finite ordered sets  $[n] = \{0 < 1 < \dots < n\}$  for integers  $n \geq 0$  and its morphisms are non decreasing monotone functions.
- The functor category  $[\Delta^{op}, \mathcal{C}]$  is the category of **simplicial objects and simplicial maps in  $\mathcal{C}$** .
- Let  $\Delta^{nop} = \Delta^{op} \times \dots \times \Delta^{op}$ .
- **Multi-simplicial objects in  $\mathcal{C}$**  are functors  $[\Delta^{nop}, \mathcal{C}]$ .

## Example: Bisimplicial object





- There is a fully faithful **nerve functor**

$$N : \text{Cat } \mathcal{C} \rightarrow [\Delta^{op}, \mathcal{C}]$$

$$X \in \text{Cat } \mathcal{C}$$

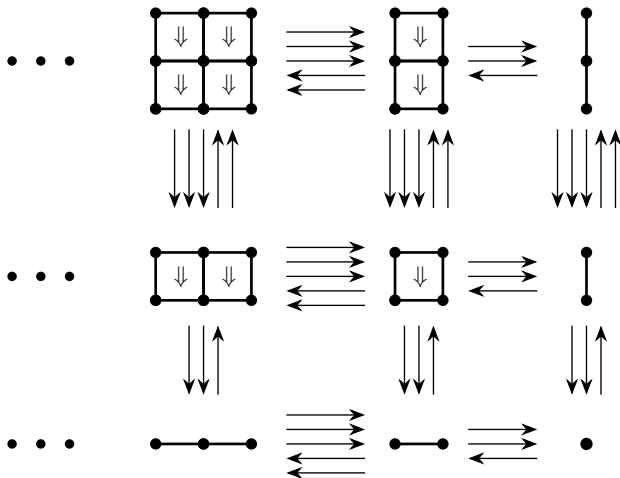
$$NX \quad \cdots \quad X_1 \times_{X_0} X_1 \times_{X_0} X_1 \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \end{array} X_1 \times_{X_0} X_1 \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \end{array} X_1 \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \\ \longleftarrow \\ \longleftarrow \end{array} X_0$$

- By iterating the nerve construction, we obtain fully faithful **multinerve** functors

$$N_{(n)} : \text{Cat}^n \rightarrow [\Delta^{n \cdot op}, \text{Set}]$$

$$J_n : \text{Cat}^n \rightarrow [\Delta^{n-1 \cdot op}, \text{Cat}]$$

# Example: the double nerve of a double category



## Higher categories via multi-simplicial objects.

Multi-simplicial objects are a **good environment** for the definition of higher categorical structures because there are **natural candidates** for the compositions given by the **Segal maps**.

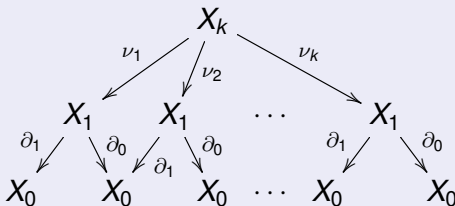
Our structures are based on  $[\Delta^{n-1^{op}}, \text{Cat}]$ . These can be used to model higher categories by imposing **additional conditions** to encode:

- i) The sets of cells in dimension 0 up to  $n$ .
- ii) The behavior of the compositions.
- iii) The higher categorical equivalences.

## Segal maps.

Let  $X \in [\Delta^{op}, \mathcal{C}]$  be a **simplicial object** in a category  $\mathcal{C}$  with pullbacks. Denote  $X[k] = X_k$ .

For each  $k \geq 2$ , let  $\nu_j : X_k \rightarrow X_1$ ,  $\nu_j = X(r_j)$ ,  $r_j(0) = j - 1$ ,  $r_j(1) = j$



There is a unique map, called **Segal map**

$$\eta_k : X_k \rightarrow X_1 \times_{X_0} \cdots \times_{X_0} X_1 .$$

# Segal maps and internal categories

- Recall the **nerve functor**

$$N : \text{Cat } \mathcal{C} \rightarrow [\Delta^{op}, \mathcal{C}]$$

$$X \in \text{Cat } \mathcal{C}$$

$$NX \quad \cdots \quad X_1 \times_{X_0} X_1 \times_{X_0} X_1 \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \end{array} X_1 \times_{X_0} X_1 \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \\ \longleftarrow \\ \longleftarrow \end{array} X_1 \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \\ \longleftarrow \\ \longleftarrow \end{array} X_0$$

**Fact:**  $X \in [\Delta^{op}, \mathcal{C}]$  is the nerve of an internal category in  $\mathcal{C}$  if and only if all the Segal maps  $X_k \rightarrow X_1 \times_{X_0} \cdots \times_{X_0} X_1$  are isomorphisms.

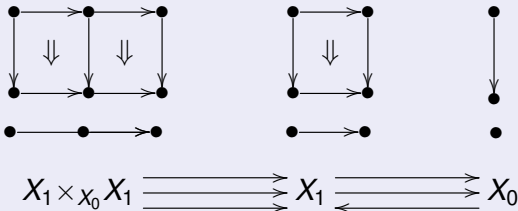
## Segal maps and multi-simplicial objects.

For each  $X \in [\Delta^{n^{op}}, \mathcal{C}]$  we have Segal maps in each of the  $n$  simplicial directions.

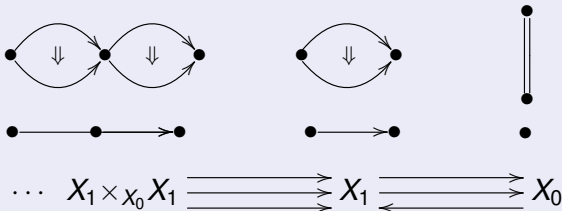
Using these Segal maps one can characterize the image of the multinerve  $J_n : \text{Cat}^n \rightarrow [\Delta^{n^{op}}, \text{Cat}]$  and  $J_n : n\text{-Cat} \rightarrow [\Delta^{n^{op}}, \text{Cat}]$

## Example: $n = 2$

- Double category  $X \in \text{Cat}(\text{Cat}) \in [\Delta^{op}, \text{Cat}]$

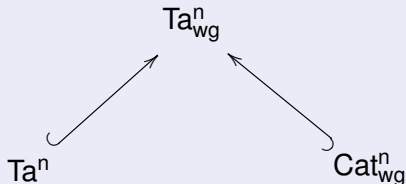


- Strict 2-category  $X \in 2\text{-Cat} \in [\Delta^{op}, \text{Cat}]$



## Segal-type models.

- We discuss three Segal-type models of weak  $n$ -categories, collectively denoted  $\text{Seg}^n$



- We have  $\text{Seg}^n \subset [\Delta^{n-1^{op}}, \text{Cat}]$ .



## Building on dimensions.

- Recall in a weak  $n$ -category we want to have  $k$ -cells with source and target being  $(k - 1)$ -cells for  $1 \leq k \leq n$ .
- $\text{Seg}^n$  is built by induction on  $n$  starting with  $\text{Seg}^1 = \text{Cat}$ .
- For each  $n > 1$ :

$$\text{Seg}^n \hookrightarrow [\Delta^{op}, \text{Seg}^{n-1}]$$

## Encoding the sets of cells.

We encode in two ways the sets of cells of  $X \in \text{Seg}^n$

i) **Globularity condition:**

$$X_0, \quad X_{1 \dots 10}^r \quad 1 \leq r < n - 1 \quad \text{discrete}$$

ii) **Weak globularity condition:**

$$X_0, \quad X_{1 \dots 10}^r \quad 1 \leq r < n - 1 \quad \text{homotopically discrete}$$

Let  $X \in \text{Seg}^n \subset [\Delta^{op}, \text{Seg}^{n-1}]$  to be such that  $X_0$  satisfies i) or ii).  
There is also a discretization map  $\gamma : X_0 \rightarrow X_0^d$  where  $X_0^d$  is discrete.

## The $n^{\text{th}}$ truncation functor.

- There is a functor

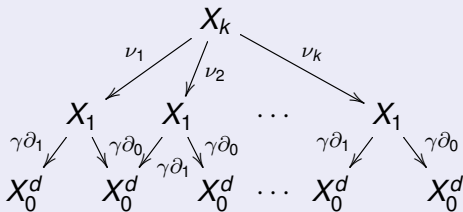
$$p^{(n)} : \text{Seg}^n \rightarrow \text{Seg}^{n-1}$$

which divides out by the highest dimensional invertible cells.

- This is used to define the notion of  $n$ -equivalence.

## Induced Segal maps.

Given  $X \in \text{Seg}^n \subset [\Delta^{op}, \text{Seg}^{n-1}]$ , consider the commuting diagram



where  $k \geq 2$ ,  $\nu_j = X(r_j)$ ,  $r_j(0) = j - 1$ ,  $r_j(1) = j$ . This gives the **induced Segal map**

$$\hat{\mu}_k : X_k \rightarrow X_1 \times_{X_0^d} \cdots \times_{X_0^d} X_1 .$$

## The induced Segal maps condition.

To define  $X \in \text{Seg}^n \subset [\Delta^{op}, \text{Seg}^{n-1}]$  we require the induced Segal maps

$$X_k \rightarrow X_1 \times_{X_0^d} \cdots \times_{X_0^d} X_1$$

to be  $(n - 1)$ -equivalences.

This condition controls the **behaviour of the compositions** of higher cells.

## Summary of main common features of $\text{Seg}^n$ .

- Inductive multi-simplicial definition.
- Globularity/weak globularity condition.
- Functor  $p^{(n)} : \text{Seg}^n \rightarrow \text{Seg}^{n-1}$  and  $n$ -equivalences.
- $(n - 1)$ -equivalences of the induced Segal maps

$$\hat{\mu}_k : X_k \rightarrow X_1 \times_{X_0^d} \cdots \times_{X_0^d} X_1 .$$

## The three models.

Three different models corresponding to different behavior of:

Induced Segal maps  $\hat{\mu}_k : X_k \rightarrow X_1 \times_{X_0} \cdots \times_{X_0} X_1$

Segal maps  $\eta_k : X_k \rightarrow X_1 \times_{X_0} \cdots \times_{X_0} X_1$

	$X_0$	$\hat{\mu}_k$	$\eta_k$
$Ta^n$	discrete	$(n-1)$ -eq	$(n-1)$ -eq
$Cat_{wg}^n$	homotopically discrete	$(n-1)$ -eq	isomorphisms
$Ta_{wg}^n$	homotopically discrete	$(n-1)$ -eq	-

## Model comparison results.

Theorem (P. case  $n > 2$ ; P. and Pronk case  $n = 2$ )

*There are functors*

$Q_n : \mathbf{Ta}^n \rightarrow \mathbf{Cat}_{\text{wg}}^n$      *rigidification functor*

$Disc_n : \mathbf{Cat}_{\text{wg}}^n \rightarrow \mathbf{Ta}^n$      *discretization functor*

*producing  $n$ -equivalent objects in  $\mathbf{Ta}_{\text{wg}}^n$ .*

### Corollary

*There is an equivalence of categories*

$$\mathbf{Ta}^n / \sim^n \simeq \mathbf{Cat}_{\text{wg}}^n / \sim^n$$



# The homotopy hypothesis.

From the comparison theorem between  $\text{Cat}_{\text{wg}}^n$  and  $\text{Ta}^n$  we obtain

## Theorem

*There is a subcategory  $\text{GCat}_{\text{wg}}^n \subset \text{Cat}_{\text{wg}}^n$  of **groupoidal weakly globular  $n$ -fold categories** such that there is an equivalence of categories*

$$\text{GCat}_{\text{wg}}^n / \sim^n \simeq \text{Ho}(n\text{-types}) .$$

There is an **explicit description** of the functor  $n\text{-types} \rightarrow \text{GCat}_{\text{wg}}^n$  using a construction of [Blanc and P., Alg.Geom. Topol. 2015].

*Research Monograph:*

S.Paoli, Segal-type models of higher categories, 2017, (310 pages)  
available at [arXiv.1707.01868](https://arxiv.org/abs/1707.01868).

Thank you for your attention